

PTO/PCT Rec'd 05 JUN 2001

#3

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:

June 29, 2001

Date



Shelley P.M. Fussey

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mark Leslie Smythe, Wim Denis Frans  
Meutermans, Gregory Thomas Bourne  
and Rose Peter McGeary

Group Art Unit: Unknown

Examiner: Unknown

Serial No.: 09/806,036

Atty. Dkt. No.: 4050.001200

Filed: March 22, 2001

For: Synthesis of Cyclic Peptides

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record in the present case. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Assistant Commissioner is hereby authorized to deduct said fees from Williams, Morgan & Amerson, P.C., Deposit Account No. 50-0786/4050.001200.

Respectfully submitted,



---

Shelley P.M. Fussey  
Reg. No. 39,458

Agent for Applicants

WILLIAMS, MORGAN & AMERSON  
7676 Hillmont, Suite 250  
Houston, Texas 77040  
(713) 934-7000

Date: June 29, 2001

Form PTO-1449 (modified)		Atty. Docket No. 4050.001200	Serial No. 09/806,036
List of Patents and Publications for Applicant's  INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)		Applicant Smythe et al.	
		Filing Date: March 22, 2001	Group:
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page</i>	Other Art <i>See Page 1</i>	

### U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	5,869,447	February 9, 1999	Henke <i>et al.</i>	514	9	
	A2	5,192,746	March 9, 1993	Lobl <i>et al.</i>	514	11	

### Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
-------------	-----------	-----------------	------	---------	-------	-----------	--------------------

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Derwent abstract Accession No. 93-076437/09, Class B04, WO 9303056 A1 (KOLBECK W.) 18 February 1993.
	C2	Derwent abstract Accession No. 95-404082/51, Class B04, WO 9530694 A1 (ASTRA AB) 16 November 1995.
	C3	Derwent abstract Accession No. 96-279515/29, Class B04, EP 717048 A1 (HOECHST AG) 19 June 1996.
	C4	Derwent abstract Accession No. 98-168442/15, Class B04, US 5721210 A (TANABE SEIYAKU CO.) 24 February 1994.
	C5	International Search Report for PCT/AU99/00813, mailed November 5, 1999.

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.